



06-18-04

JPW

June 17, 2004

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Nielsen, James T. et al  
Assignee: Cardica, Inc.  
Title: Surgical System and Method for Connecting Hollow Tissue Structures  
Serial No.: 10/720,618  
Examiner: Not yet assigned  
Docket No.: 142

Filed: November 24, 2003  
Group Art Unit: 3721

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) PTO form 1449; and
- (4) Return postcard.

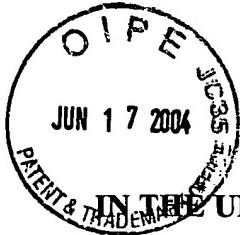
- No additional fee is required.
- Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108

Total: \$ 0.00

**EXPRESS MAIL LABEL NO.**  
**EV430390606US**

Respectfully submitted,

  
Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Nielsen, James T. et al.

Assignee: Cardica, Inc.

Title: Surgical System and Method for Connecting Hollow Tissue Structures

Serial No.: 10/720,618 Filing Date: 11/24/2003

Examiner: Not yet assigned Group Art Unit: 3721

Docket No.: 142

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

  
Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Dr.  
Redwood City 94063

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)



ATTY. DOCKET NO.

142

SERIAL NO.

10/720,618

APPLICANT:

Nielsen, James T. et al.

FILING DATE:

11/24/2003

GROUP:

3721

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	6,209,773	9/7/1999	BOLDUC			
	AB	5,976,159	2/8/1996	BOLDUC			
	AC	5,662,258	7/11/1996	KNODEL			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AY						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AZ

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant